

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

LARRY GENE HEGGEM,
Plaintiff,
vs.
BRANDON KELLY and
JEFF UTTECHT,
Defendants. }
No. CV-07-258-LRS
}
**ORDER GRANTING
DEFENDANTS' MOTION
FOR SUMMARY JUDGMENT,
IN PART, *INTER ALIA***

BEFORE THE COURT are the Plaintiff's Motion For Reconsideration (Ct. Rec. 65), Defendants' Motion To Strike (Ct. Rec. 80), Defendants' Motion For Summary Judgment (Ct. Rec. 82), and Plaintiff's Motion For Appointment Of Counsel (Ct. Rec. 91). These motions are heard without oral argument.

I. BACKGROUND

20 Plaintiff asserts an Eighth Amendment claim for excessive force under 42
21 U.S.C. §1983. Plaintiff claims that on April 12, 2007, while he was an inmate at
22 the Washington State Penitentiary (WSP), Correctional Officer (CO) Brandon
23 Kelly used an excessive amount of force upon him after escorting him back to his
24 Intensive Management Unit (IMU) cell. An inmate is placed into his cell with
25 handcuffs still on which are attached to a cuff retainer (aka “escort rope”) which is
26 threaded through a cuff port to the outside of the cell. An officer, in this case, CO
27 Kelly, holds onto the cuff retainer outside of the cell while the handcuffs are
28 removed. The inmate backs up and places his cuffed hands into the cuff port to

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1 allow an officer to release the handcuffs. In this case, CO Andy Flores released the
 2 handcuffs. Plaintiff asserts that on April 12, 2007, while this was taking place, CO
 3 Kelly pulled extremely hard on the cuff retainer, causing injuries to him.

4 On March 17, 2008, the court filed an order denying Plaintiff's motion for
 5 summary judgment. (Ct. Rec. 60). In doing so, this court stated:

6 At this juncture, the court is compelled to find there remains
 7 a triable issue (a genuine issue of material fact) whether Officer
 8 Kelly applied force "maliciously and sadistically for very purpose
 9 of causing harm" to the Plaintiff in violation of his Eighth Amendment
 10 rights. In this case, in addition to the differing
 11 accounts of [the] incident from the Plaintiff and Officer Kelly, there
 12 is a recorded surveillance video of the incident. The court intends
 13 to review that video later in these proceedings, perhaps at trial,
 14 although it is extremely unlikely that the court would be able to
 15 conclude as a matter of law from that video that there simply is no
 16 dispute that Officer Kelly applied force maliciously or sadistically as
 17 opposed applying it in a good-faith effort to maintain or restore
 18 discipline.

19 On March 27, the Plaintiff filed a "Request For Reconsideration" (Ct. Rec.
 20 65) which this court has construed as a motion for reconsideration. In an order
 21 filed April 23, 2008 (Ct. Rec. 78), the court directed Defendants to respond to the
 22 motion and they have done so. Defendants have also filed their own motion for
 23 summary judgment asking the court to find as a matter of law that CO Kelly did not
 24 apply force to the Plaintiff maliciously and sadistically. Defendants' motion,
 25 although noted for hearing on June 30, has been fully briefed by both parties and is
 26 now at issue.¹ Related to these motions are the Defendant's motion to strike what it
 27 alleges are improperly filed pleadings by the Plaintiff, and yet another motion for
 28 appointment of counsel by the Plaintiff.

29 Plaintiff has submitted a copy of the aforementioned surveillance video to
 30 the court, the authenticity of which is apparently not disputed by the Defendants.
 31 Defendants have submitted their own copy of the video which appears to be

32 _____
 33 ¹ This court noted Plaintiff's motion for reconsideration for hearing without
 34 oral argument on May 30.

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 36 MOTION FOR SUMMARY JUDGMENT IN PART- 2**

1 identical to the video submitted by Plaintiff. The court has reviewed both of these
2 videos.²

4 II. SUMMARY JUDGMENT

A. Motion To Strike

6 Defendant asks the court to strike certain documents the Plaintiff has filed
7 on the basis these documents have been improperly filed because Plaintiff did not
8 file a motion to file supplemental briefing, did not file a motion to supplement the
9 record with regard to his motion for summary judgment or his motion for
10 reconsideration, and has filed no supporting affidavit with regard to any of the
11 miscellaneous evidence he has provided to the court. Defendants assert they
12 cannot reasonably be expected to respond to such miscellaneous filings and
13 therefore, they should be stricken from the record.

14 With regard to the vast majority of the evidentiary documents referenced
15 herein (i.e., Kelly's initial infraction report, Flores' Inter-departmental
16 memorandum, CUS Jensen's Interdepartmental Memorandum, the videotape of the
17 April 12, 2007 incident, Kelly's answers to certain interrogatories), not only has the
18 Plaintiff submitted them as evidence, but so have the Defendants in support of their
19 own motion for summary judgment.³ There simply is no dispute that these are

² Plaintiff's motion re requiring a new password to access the video (Ct. Rec. 94) is **DENIED as moot** as the court has had no difficulty accessing the video using the existing password provided by Plaintiff and counsel for Defendants.

³ Certain medical reports submitted by the Plaintiff at Ct. Rec. 70 have not been considered in the summary judgment analysis. Plaintiff complains that Defendants have accessed asserted confidential medical grievances which he filed with prison officials, but Plaintiff has no basis to complain because he has put his physical and mental condition into issue by filing this lawsuit.

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1 accurate copies of the originals of said documents. Defendants have not been
 2 prejudiced. Plaintiff's motion for summary judgment was denied by the court in an
 3 order dated March 17, 2008.⁴ As discussed herein, Plaintiff's motion for
 4 reconsideration is being denied. Although Defendants' motion for summary
 5 judgment is not being granted in its entirety, Defendants have had a full and fair
 6 opportunity to reply to all of Plaintiff's evidence, including his personal declaration
 7 (Ct. Rec. 74).⁵

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9 **B. Summary Judgment Standard/Reconsideration Standard**

10 The purpose of summary judgment is to avoid unnecessary trials when there
 11 is no dispute as to the facts before the court. *Zweig v. Hearst Corp.*, 521 F.2d 1129
 12 (9th Cir.), *cert. denied*, 423 U.S. 1025, 96 S.Ct. 469 (1975). Under Fed. R. Civ. P.
 13 56, a party is entitled to summary judgment where the documentary evidence
 14 produced by the parties permits only one conclusion. *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 247, 106 S.Ct. 2505 (1986); *Semegen v. Weidner*, 780 F.2d 727, 732 (9th Cir. 1985). Summary judgment is precluded if there exists a genuine
 15 dispute over a fact that might affect the outcome of the suit under the governing
 16 law. *Anderson*, 477 U.S. at 248.

17 The moving party has the initial burden to prove that no genuine issue of
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22 ⁴ Defendants ask the court to strike Plaintiff's Ct. Rec. 51 and 55. Those
 23 are both documents the Plaintiff submitted in support of his motion for summary
 24 judgment which was denied by the court.

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26 ⁵ Plaintiff has filed a motion to submit into evidence the written statement
 27 that Inmate Garrison prepared in response to written deposition questions tendered
 28 to him by Plaintiff. That motion (Ct. Rec 90) is **DENIED as moot** because the
 court has not found it necessary to consider Garrison's written statement in
 making its determinations regarding Plaintiff's motion for reconsideration and
 Defendants' motion for summary judgment.

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1 material fact exists. *Matsushita Elec. Industrial Co. v. Zenith Radio Corp.*, 475
 2 U.S. 574, 586, 106 S.Ct. 1348 (1986). Once the moving party has carried its
 3 burden under Rule 56, "its opponent must do more than simply show that there is
 4 some metaphysical doubt as to the material facts." *Id.* The party opposing
 5 summary judgment must go beyond the pleadings to designate specific facts
 6 establishing a genuine issue for trial. *Celotex Corp. v. Catrett*, 477 U.S. 317, 325,
 7 106 S.Ct. 2548 (1986).

8 In ruling on a motion for summary judgment, all inferences drawn from the
 9 underlying facts must be viewed in the light most favorable to the non-movant.
 10 *Matsushita*, 475 U.S. at 587. Nonetheless, summary judgment is required against a
 11 party who fails to make a showing sufficient to establish an essential element of a
 12 claim, even if there are genuine factual disputes regarding other elements of the
 13 claim. *Celotex*, 477 U.S. at 322-23.

14 " '[T]he major grounds that justify reconsideration involve an intervening
 15 change of controlling law, the availability of new evidence, or the need to correct a
 16 clear error or prevent manifest injustice.'" *Pyramid Lake Paiute Tribe v. Hodel*,
 17 882 F.2d 364, 369 n.5 (9th Cir. 1989) (quoting 18 C. Wright, A. Miller & E.
 18 Cooper, *Federal Practice and Procedure* § 4478, at 790); see *Frederick S. Wyle*
 19 *P.C. v. Texaco, Inc.*, 764 F.2d 604, 609 (9th Cir. 1985); see also *Keene Corp. v.*
 20 *International Fidelity Ins. Co.*, 561 F. Supp. 656, 665 (N.D. Ill. 1982)
 21 (reconsideration available "to correct manifest errors of law or fact or to present
 22 newly discovered evidence"). Such motions are not the proper vehicle for offering
 23 evidence or theories of law that were available to the party at the time of the initial
 24 ruling. *Fay Corp. v. Bat Holdings I, Inc.*, 651 F. Supp. 307, 309 (W.D. Wash.
 25 1987); see *Keene Corp.*, 561 F. Supp. at 665-66.

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1 **C. Eighth Amendment Excessive Force And Failure To Protect**
 2 **Analysis**

3 “[W]henever prison officials stand accused of using excessive physical force
 4 in violation of the [Eighth Amendment], the core judicial inquiry is . . . whether
 5 force was applied in a good-faith effort to maintain or restore discipline, or
 6 maliciously and sadistically to cause harm.” *Hudson v. McMillian*, 503 U.S. 1, 6-7,
 7 112 S.Ct. 995 (1992). Where prison officials have acted in response to an
 8 immediate disciplinary need, because of the risk of injury to inmates and prison
 9 employees, and because prison officials will not have time to reflect on the nature
 10 of their actions, the “malicious and sadistic standard,” as opposed to the “deliberate
 11 indifference” standard, applies. *Whitley v. Albers*, 475 U.S. 312, 320-21, 106 S.Ct.
 12 1078 (1986). When determining whether the force is excessive, the court looks at
 13 the “extent of injury . . . , the need for application of force, the relationship between
 14 that need and the amount of force used, the threat ‘reasonably perceived by the
 15 responsible officials,’ and ‘any efforts made to temper the severity of a forceful
 16 response.’” *Hudson*, 503 U.S. at 7, quoting *Whitley*, 475 U.S. at 321.

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 18 **1. Correctional Officer Kelly**

19 CO Kelly infracted Plaintiff for the April 12, 2007 incident. In his “Initial
 20 Infraction Report,” Kelly stated:

21 On 4-12-07 at approximately 0955 I (C/O Kelly, Brandon #7881)
 22 while escorting Inmate Heggem from West Yard #2 at IMU
 23 [Intensive Management Unit] inmate Heggem became verbally
 24 aggressive. Once in his cell[,] inmate Heggem attempted to pull
 25 away from the cuff retainer which caused me to hit my right
 26 hand on the open wicket causing the skin to break on my right
 27 thumb under my glove. At this time I was forced to place **steady**
 28 **pressure** on the cuff retainer in order to gain control of the inmate[‘]s
 hands so the restraints could be removed. Once the restraints were
 removed and the wicket closed[,] Sgt. Davis was notified of a
 Spontaneous Use Of Force. By resisting escorted movement[,]
 Inmate Heggem caused injury to a staff member (myself). Injury
 report filed.

(Ex. to Plaintiff’s Ct. Rec. 67; Pg. 98 at Attachment D to Declaration of Lori

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1 Scamahorn, Ex. 6 to Defendants' Statement of Facts, Ct. Rec. 84). (Emphasis
 2 added).

3 In an interrogatory answer, CO Kelly acknowledged that he did pull on the
 4 escort rope while it was still attached to the handcuffs around Plaintiff's wrists.
 5 (Ex. to Plaintiff's Ct. Rec. 72). The video footage confirms that CO Kelly pulled
 6 on the rope at least twice. Plaintiff contends Kelly's statement in his "Initial
 7 Infraction Report" that he placed "steady pressure" on the escort rope is
 8 inconsistent with his admission in his interrogatory answer that he pulled on the
 9 rope as confirmed by the video footage. Plaintiff asserts this shows that Kelly is
 10 not credible.⁶ Defendants assert "[t]he fact that Defendant Kelly necessarily pulled
 11 on the cuff retainer prior to placing steady pressure on it does not negate the
 12 statement or indicate that Defendant Kelly lied." In another interrogatory answer,
 13 Kelly says he was attempting to maintain control of the escort rope because inmates
 14 are not allowed to possess such an item and had Kelly let go, the Plaintiff would
 15 have pulled the rope into his cell with him. (Plaintiff's Ct. Rec. 51 at pp. 15-16,
 16 "Plaintiff's Adding Additional Objective And Subjective Facts To His Motion For

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22 ⁶ Plaintiff also points out that in his "Initial Infraction Report," Kelly did
 23 not say anything specific about the Plaintiff continuing to resist/struggle while CO
 24 Flores removed the cuffs. In an interview with Custody Unit Supervisor (CUS)
 25 Ron Jensen, however, Kelly apparently told Jensen that Plaintiff continued to
 26 struggle while Flores removed the cuffs. (See May 16, 2007 Interdepartmental
 27 Memorandum prepared by Jensen; Exhibit attached to Plaintiff's Memorandum Of
 28 Law In Support Of Summary Judgment, Ct. Rec. 42). In his Inter-departmental
 Memorandum, discussed *infra*, CO Flores does not mention anything specifically
 about the Plaintiff continuing to resist as the cuffs were removed.

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1 Summary Judgment In His Behalf').⁷

2 CO Andy Flores, who assisted CO Kelly in escorting the Plaintiff to his cell
 3 in IMU on April 12, 2007, prepared an “Inter-Departmental Memorandum”
 4 regarding the incident. According to Flores, Plaintiff “became upset and very
 5 argumentative” as he was being escorted back to his cell. Added Flores: “Upon
 6 [Plaintiff’s] placement back in IMU H-2 and during the process of removing the
 7 wrist restraint [Plaintiff] [p]ulled away which caused an injury to C/O Kelly #7881
 8 to his right thumb. At that time, C/O Kelly pulled the cuff retainer back and
 9 restraints were removed.” (Pg. 89 at Attachment D to Declaration of Lori
 10 Scamahorn, Ex. 6 to Defendants’ Statement of Facts, Ct. Rec. 84).

11 In a declaration which he has filed (Ct. Rec. 74), Plaintiff says that after he
 12 had been escorted back to his IMU cell, as soon as the cell door shut, CO Kelly
 13 jerked on the escort rope “for no reason other than anger and disregard for my
 14 safety.” According to Plaintiff, as a result of this, he was pulled back up against
 15 the cell door where he had to “straighten upwards and forward a little to regain
 16 [his] footing.” Plaintiff says Kelly then pulled on the rope a second time “much
 17 harder,” which had the effect of “dragging” Plaintiff’s hand and wrists through the
 18 cuff port and injuring him. Plaintiff maintains he did not resist being uncuffed and
 19 never pulled on the escort rope after he had been placed inside the cell. Plaintiff
 20 disputes that Kelly injured his thumb, asserting that no pictures were taken of the
 21

22 ⁷ Ex. 2 to Defendants’ Statement Of Facts (Ct. Rec. 84) is a Declaration of
 23 Mike Kenney. Kenney is the Prisons Administrator of the East Command for the
 24 Washington DOC. Kenney asserts that when an offender attempts to obtain, by
 25 force, an officer’s restraint equipment, it is an emergent situation. (Paragraph 11).
 26 Kenney adds that offenders housed in the IMU cannot be allowed to possess
 27 handcuffs, cuff retainers, or other restraint hardware because they could be used as
 28 weapons to harm officers, other offenders, or themselves. (Paragraph 9).

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1 alleged injury as is the “standard” procedure.⁸ Color photographs of the injuries to
 2 Plaintiffs’s hands and wrists as a result of the incident are found in the court’s hard
 3 copy file, attached as exhibits to Plaintiff’s complaint and to “Plaintiff’s Adding
 4 Additional Objective And Subjective Facts To His Motion For Summary Judgment
 5 In His Behalf.” (Ct. Rec. 1 and Ct. Rec. 51).

6 Defendants note that the IMU is a maximum custody placement, the most
 7 restrictive level in Washington correctional system. Defendants contend that in the
 8 months preceding the April 12, 2007 incident, Plaintiff had received infractions for
 9 making threats against staff. It is not clear if this is borne out by Defendant’s
 10 evidence and there is no indication regarding the disposition of the alleged
 11 infractions.⁹ Even if Defendant was so infracted and found guilty of the
 12 infractions, while that appears relevant to what occurred on April 12, 2007, it does
 13 not conclusively answer the question of whether Kelly used excessive force on the
 14 Plaintiff.

15 According to Defendants, the injury that Kelly sustained to his right thumb is
 16 consistent with an officer attempting to hold on to a cuff retainer as it is being

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 18 ⁸ Plaintiff asserts that Kelly did not provide him with the “required prior
 19 verbal intervention” before the use of force occurred. Washington Department of
 20 Corrections (DOC) Policy 410.200 states that “[i]n all cases, staff presence and
 21 verbal intervention **should** occur prior to . . . [p]hysical intervention or
 22 control/impedance tactics requiring staff to physically control the offender(s).”
 23 (Emphasis added). (See pg. 47 to Attachment A to Declaration of Mike Kenney,
 24 Ex. 2 to Defendants’ Statement Of Facts, Ct. Rec. 84). The policy does not state
 25 that verbal intervention “must” occur and there are situations where verbal
 26 intervention would not be possible and/or practical. Kelly’s version of the April
 27 12, 2007 incident suggests that was the case.

28 ⁹ The information re the alleged infractions is not contained in Defendants’
 29 statement of facts. There is reference to “Ex. 1, Att. A, p. 15-16,” but the court
 30 does not find the information there.

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1 pulled through a cuff port into a cell “which suggests that Plaintiff jerked away
2 forcefully, pulling CO Kelly’s hand into the cuff port as CO Kelly attempted to
3 maintain possession of the cuff retainer.” While it may “suggest” that Plaintiff
4 jerked away forcefully, it does not rule out the possibility that Kelly initially pulled
5 on the rope without any provocation from Plaintiff (i.e, Plaintiff did not jerk away),
6 and that if in fact Kelly injured his thumb, it occurred subsequently when Plaintiff
7 says, in an attempt to regain his balance after being pulled back to the door, he fell
8 forward slightly. While Kelly did complete a Report of Work Related Personal
9 Injury report regarding his thumb (Pg. 93 at Attachment F to Declaration of Lori
10 Scamahorn, Ex. 6 to Defendants’ Statement Of Facts, Ct. Rec. 84), he did not
11 receive any medical treatment and hence, no medical report was completed, nor
12 were any photographs taken of his thumb following the incident.

13 In ruling on summary judgment motions, the court is not to resolve
14 conflicting evidence with respect to disputed material facts, weigh evidence, or
15 make credibility determinations, all of which are the province of the trier of fact.
16 *T.W. Elec. Service, Inc. v. Pacific Elec. Contractors Ass’n*, 809 F.2d 626, 630 (9th
17 Cir. 1987). This court previously denied Plaintiff’s motion for summary judgment,
18 finding it was necessary for a trier of fact to determine who was more credible-
19 Kelly or Plaintiff- in determining whether Kelly applied force maliciously and
20 sadistically to cause harm. After reviewing the materials accompanying Plaintiff’s
21 motion for reconsideration and Defendants’ motion for summary judgment, the
22 court arrives at the same result. The critical question is whether after he was placed
23 in the cell, Plaintiff in fact pulled on the cuff retainer and away from the cell door.
24 Plaintiff says he did no such thing. Kelly says the Plaintiff did so, resulting in
25 Kelly scraping his thumb against the cuff port and requiring him to pull back on the
26 escort rope. Plaintiff acknowledges that after the first pull by Kelly, he [Plaintiff]
27 fell forward slightly in an effort to regain his balance and it was then that Kelly
28

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1 pulled on the rope again. In his declaration (Paragraph 7 at Ex. 3 to Ct. Rec. 84,
2 Defendants' Statement Of Facts), Kelly acknowledges he did pull a second time on
3 the cuff retainer (escort rope). The video confirms that Kelly pulled on the rope
4 twice. The video, however, does not reveal whether when Plaintiff was placed in
5 the cell, he immediately pulled away from the door, or whether Kelly simply pulled
6 on the cuff retainer without the Plaintiff doing anything but standing there waiting
7 for the cuffs to be removed.¹⁰ It is also not apparent from the video whether Kelly
8 scraped his thumb on the cuff port. As the video shows, the entire incident was
9 over in a couple of minutes or less and the critical events at the door to the cell
10 occurred in rapid succession in a matter of seconds.¹¹

11 According to Defendants, because Plaintiff argues that Kelly was not aware
12 that he had injured the Plaintiff, this "suggests that even Plaintiff does not believe
13 Defendant Kelly acted with 'a sufficiently culpable state of mind.'" The court
14 disagrees with that contention because there is no requirement that Kelly have been
15 aware that he in fact injured the Plaintiff. All that is necessary is that Kelly have
16 acted with the intent to harm the Plaintiff. Furthermore, in his declaration, Kelly
17 acknowledges seeing that Plaintiff's hands were scraped and bruised, although he
18 claims he did not see any blood. (Paragraph 7 at Ex. 3 to Ct. Rec. 84, Defendants'
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20
21 ¹⁰ On the video, one cannot see Heggem once he is placed inside the cell
22 and the door is shut.

23 ¹¹ Mike Kenney opines that based on his review of the video recording and
24 the documents associated with the use-of-force incident, Kelly's use of force was
25 appropriate and necessary. While Kenney is entitled to his opinion, including his
26 opinion that Plaintiff must have jerked away forcefully so as to prompt Kelly to
27 pull on the cuff retainer rope, this court has to decide for itself whether there is a
genuine issue of material fact whether Plaintiff did so and whether a jury should
have the final say.

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1 Statement Of Facts).

2 The court concludes there is a genuine issue of material fact whether Kelly
3 used excessive force on the Plaintiff. The question then becomes whether Kelly is
4 nonetheless entitled to qualified immunity from damages. A qualified immunity
5 analysis has two prongs: (1) taken in the light most favorable to the aggrieved
6 party, do the facts alleged show the officer's conduct violated a constitutional right,
7 and (2) if a constitutional right was violated, was that right clearly established?
8 *Beier v. City of Lewiston*, 354 F. 3d 1058, 1064 (9th Cir. 2004)(citing *Saucier v.*
9 *Katz*, 533 U.S. 194, 201, 121 S.Ct. 2151 (2001)). Taken in a light most favorable
10 to the Plaintiff, the facts alleged show CO Kelly's conduct violated Plaintiff's
11 Eighth Amendment right to be free from excessive force. There is a genuine issue
12 of material fact whether Kelly employed excessive force on the Plaintiff.
13 Furthermore, at the time of the incident, it was clearly established that if the
14 Plaintiff did nothing more than stand in his cell and not pull away on the cuff
15 retainer rope, Kelly was not entitled to pull back on the rope with the intent to harm
16 the Plaintiff. It remains to be determined what Plaintiff did or did not do, and if
17 Kelly intended to injure the Plaintiff. If the trier of fact finds Plaintiff did pull on
18 the cuff retainer rope and that Kelly was entitled to pull back so he did not lose his
19 equipment, there is no "excessive force" and Kelly does not need qualified
20 immunity.

21 Defendants assert "[a] reasonable prison official could believe it is lawful
22 conduct to pull twice on a cuff retainer rope in order to maintain possession and
23 control of the equipment in IMU and prevent a dangerous offender from obtaining
24 possession of it" and therefore, Kelly is entitled to qualified immunity. There is a
25 genuine issue of material fact whether Kelly pulled on the cuff retainer rope in
26 order to maintain possession and control of his equipment, or if he pulled on the
27 rope in a malicious and sadistic effort to cause harm to the Plaintiff. From the
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1 evidence presented thus far, a reliable inference can arguably be drawn that Kelly
 2 intended to inflict pain. *Manier v. Cook*, 394 F.Supp.2d 1282, 1288 (E.D. Wash.
 3 2005), citing *Whitley*, 475 U.S. at 322 (allegation of cruel and unusual punishment
 4 should proceed to trial only if the evidence supports a reliable inference the prison
 5 official intended to inflict pain). A trier of fact needs to determine whether that
 6 inference is supported by a preponderance of the evidence.¹²

7

8 **2. Superintendent Uttecht**

9 Supervisory liability arises only upon a showing of “personal participation.”

10 A supervisor is liable for the constitutional violations of subordinates only if the
 11 supervisor participated in or directed the violations, or knew of the violations and
 12 failed to act to prevent them. *Taylor v. List*, 880 F.2d 1040, 1045 (9th Cir. 1989).
 13 There simply is no evidence that WSP Superintendent Uttecht participated in or
 14 directed the alleged excessive force employed by Kelly on April 12, 2007, or that
 15 he somehow had the means to prevent the incident, but failed to do so. Therefore,
 16 there is no basis for supervisory liability.

17 It appears, however, that Plaintiff may be alleging that Uttecht is liable in his
 18 own right, not as a supervisor, for failing to take adequate steps after the incident to
 19 protect the Plaintiff from CO Kelly. In his motion for summary judgment, Plaintiff
 20 says his complaint about Uttecht is that he allowed Kelly to continue to escort the

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23 ¹² In *Manier*, the court granted summary judgment to the defendant jail
 24 officials on plaintiff’s Eighth Amendment excessive force claim where it was
 25 **undisputed** that the plaintiff refused to return to his cell when ordered to do so
 26 and became verbally abusive. Therefore, there was no evidence to create a
 27 genuine issue of material fact that defendants’ subjective intent was to inflict
 28 serious pain as opposed to maintaining and restoring order at the jail. 394
 F.Supp.2d at 1288.

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1 Plaintiff “at least twice on two separate occasions and days, causing more fear and
 2 trauma to the plaintiff.” According to Plaintiff, these occasions were within four
 3 weeks following the April 12, 2007 incident.

4 In general, a prison official’s deliberate indifference to a substantial risk of
 5 harm to an inmate violates the Eighth Amendment. *Farmer v. Brennan*, 511 U.S.
 6 825, 827, 114 S.Ct. 1970 (1994). A prisoner claiming such a violation must show:
 7 (1) the deprivation he suffered was “objectively sufficiently serious” (objective
 8 element); and (2) the defendant possessed a sufficiently culpable state of mind
 9 (subjective element). *Id.* at 834. Where the claim is predicated upon failure to
 10 protect, the deprivation is deemed to be sufficiently serious if there was a
 11 substantial risk that the prisoner would suffer serious harm. *Id.* A prison official
 12 can only be held liable if he (1) is aware of the facts from which he could infer a
 13 substantial risk of serious harm, and (2) actually drew that inference. *Id.* at 837.

14 Plaintiff cannot show he suffered an objectively sufficiently serious
 15 deprivation because there is no evidence that anything occurred during the
 16 occasions subsequent to April 12, 2007, when Kelly escorted the Plaintiff. Those
 17 escorts occurred without incident. There is no allegation or evidence that Kelly
 18 used any kind of force against the Plaintiff on those occasions, or that Kelly said
 19 anything to the Plaintiff to instill in him a reasonable fear of a repeat of the incident
 20 of April 12, 2007, at least Plaintiff’s version of that incident. Compare *Gibson v.*
 21 *Brooks*, 335 F.Supp.2d 325, 333 (D. Conn. 2004)(when an inmate informs
 22 corrections officers about a specific fear of assault and is then assaulted, this is
 23 sufficient to proceed on a claim of failure to protect). Furthermore, assuming
 24 Uttecht was fully apprised of the April 12, 2007 incident shortly after it occurred
 25 and prior to Kelly escorting the Plaintiff on subsequent occasions, there is no
 26 evidence creating a genuine issue of material fact that Uttecht was aware of facts
 27 from which he could infer a substantial risk of serious harm. At most, Uttecht was

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1 presented with two different versions of the incident: Plaintiff's version that Kelly
 2 pulled on the escort rope for no valid reason and Kelly's version that he pulled on
 3 the escort rope because Plaintiff pulled away and Kelly was concerned he would
 4 lose his equipment. There was no compelling reason why Uttecht should have
 5 believed Plaintiff's version instead of Kelly's version. As noted, the video is
 6 inconclusive. Apparently, Uttecht chose to believe Kelly's version. A trier of fact
 7 may see it differently, but that does not mean Uttecht is liable under the Eighth
 8 Amendment for failing to protect the Plaintiff by allowing Kelly to escort the
 9 Plaintiff on two occasions after the April 12, 2007 incident.

10

11 **III. MOTION FOR APPOINTMENT OF COUNSEL**

12 This is Plaintiff's fourth motion for appointment of counsel. Obviously,
 13 Plaintiff's three previous motions were all denied by the court (Ct. Rec. 14, 24 and
 14 and 61). The last time the court denied Plaintiff's motion in an order filed March
 15 18, 2008, the court stated:

16 Although the court has denied Plaintiff's motion for
 17 summary judgment, that does not mean he will not ultimately
 18 succeed on the merits of his claim. Plaintiff's motion shows that
 19 he continues to exhibit an ability to adequately articulate his claim. A
 20 motion for summary judgment from the Defendants is anticipated. If
 21 Plaintiff's claim survives summary judgment and this matter does not
 22 settle and will be tried, the court will reconsider appointment of
 23 counsel for the Plaintiff at that time.

24 28 U.S.C. §1915(e)(1) does not authorize the court to require counsel to
 25 represent a *pro se in forma pauperis* litigant, but only to request such
 26 representation on a *pro bono* basis. *Mallard v. U.S. Dist. Court*, 490 U.S. 296,
 27 304-05, 109 S.Ct. 1814 (1989). Appointment of counsel is limited to cases
 28 presenting "exceptional circumstances." Determining whether "exceptional
 29 circumstances" exist requires evaluating "the likelihood of success on the merits
 30 and plaintiff's ability to articulate his claims *pro se* in light of the complexity of the

31
 32 **ORDER GRANTING DEFENDANTS'**
 33 **MOTION FOR SUMMARY JUDGMENT IN PART- 15**

1 legal issues involved.” *Terrell v. Brewer*, 935 F.2d 1015, 1017 (9th Cir. 1991).

2 Plaintiff has been able to adequately articulate his claims and his arguments
3 thus far, well enough in fact to withstand Defendants’ motion for summary
4 judgment regarding the excessive force claim against Defendant Kelly. His
5 summary judgment and reconsideration presentation and his response to
6 Defendants’ summary judgment motion have been a bit scattershot and there have
7 been some procedural deficiencies. Those can be overlooked, however, for the
8 reasons set forth above discussing Defendants’ motion to strike. Although a jury
9 trial is a step up from the paperwork battle on summary judgment, the court
10 believes Defendant is capable of adequately presenting and articulating his claims
11 before a jury. The legal issue of excessive force is not complex and to the extent
12 permissible and appropriate, the court will guide the Plaintiff regarding the proper
13 procedure for presenting his case . This case essentially boils down to the
14 Plaintiff’s word versus CO Kelly’s word. At this juncture, particularly because the
15 video is inconclusive, the likelihood of Plaintiff succeeding on the merits of his
16 claim is no more than 50 percent.

17 Plaintiff indicates he has had some difficulty with access to the law library
18 and to his personal legal materials. The court is fully versed in the law relating to
19 excessive force and needs no assistance from the Plaintiff in that regard. The court
20 is concerned, however, about Plaintiff’s recent allegation that all of his materials
21 pertaining to his case have been seized by personnel at Plaintiff’s current place of
22 incarceration, the Washington State Reformatory in Monroe. Although the
23 Plaintiff has not filed a motion with regard to the alleged seizure of his materials,
24 the court will direct Defendants’ counsel to investigate this allegation and advise
25 the court regarding the status of Plaintiff’s legal materials. If the Plaintiff is going
26 to present his case before a jury, he is entitled to his materials and to sufficient
27 access to the law library in order to prepare his case.

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MOTION FOR SUMMARY JUDGMENT IN PART- 16**

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2 **IV. CONCLUSION AND REFERRAL FOR SETTLEMENT CONFERENCE**

3 There is a genuine issue of material fact whether CO Kelly employed
4 excessive force on the Plaintiff. Therefore, Plaintiff's motion for reconsideration
5 (Ct. Rec. 65) is **DENIED** and Defendants' motion for summary judgment, to the
6 extent it seeks judgment on the excessive force claim alleged against CO Kelly (Ct.
7 Rec. 82), is **DENIED**.

8 There is no genuine issue of material fact that Uttecht "personally
9 participated" in the alleged excessive force perpetrated by Kelly on the Plaintiff on
10 April 12, 2007, or that Uttecht failed to protect the Plaintiff by allowing Kelly to
11 escort the Plaintiff on two occasions after the April 12, 2007 incident. Therefore,
12 Defendants' motion for summary judgment, to the extent it seeks judgment on the
13 claims against Uttecht (Ct. Rec. 82), is **GRANTED**. Defendant Uttecht is award
14 judgment on the Eighth Amendment claims asserted by Plaintiff against him.

15 For the reasons set forth above, Defendants' Motion To Strike (Ct. Rec. 80)
16 is **DENIED** and Plaintiff's Motion For Appointment Of Counsel (Ct. Rec. 91) is
17 **DENIED**. Also, as discussed in footnotes 2 and 5 *supra*, Plaintiff's motion re
18 password (Ct. Rec. 94) and Plaintiff's motion re statement of Inmate Garrison (Ct.
19 Rec. 90) are **DENIED as moot**.

20 The court is hereby **REFERRING** this matter to U.S. Magistrate Judge
21 James P. Hutton for the purpose of conducting a telephonic settlement conference.
22 Judge Hutton will conduct a status conference with the parties for the purpose of
23 arranging the settlement conference and will thereafter issue an order outlining the
24 requirements for the conference.

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MOTION FOR SUMMARY JUDGMENT IN PART- 17**

IT IS SO ORDERED. The District Executive shall forward copies of this order to the Plaintiff, to counsel for the Defendants, and to Magistrate Judge Hutton.

DATED this 20th of June, 2008.

s/Lonny R. Suko

LONNY R. SUKO
United States District Judge

**ORDER GRANTING DEFENDANTS'
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